



服务价目表

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制定依据

根据《商业银行服务价格管理办法》（中国银监会、国家发展改革委令 2014 第 1 号）、《国家发展改革委、中国银监会关于印发商业银行服务政府指导价政府定价目录的通知》（发改价格[2014]268 号）、《关于取消和暂停商业银行部分基础金融服务收费的通知》（发改价格规[2017]1250 号）、《关于降低小微企业和个体工商户支付手续费的通知》（银发[2021]169 号）、《关于规范银行服务市场调节价管理的指导意见》（银保监规[2022]2 号）制定本价目表。本服务价目表自 2024 年 1 月 1 日起实施，至本服务价目表失效日终止，另有规定的从其规定。

云南红塔银行服务价目表目录

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Yunnan Hongta Bank Service Price Lists

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免费服务项目

一、监管规定的免费服务项目	
序号	(一) 《国家计委、中国人民银行关于制定电子汇划收费标准的通知》 (计价格〔2001〕791号)
1	汇划财政金库、救灾、抚恤金等款项免收电子汇划费
序号	(二) 《关于免收代发最低生活保障资金费用的通知》 (民发〔2007〕8号)
2	各地银行及邮政储蓄机构等金融网点受民政部门委托，向城乡特殊困难群众代为发放最低生活保障金等社会救助资金时，其低保金专用存折账户一律免收开户费、工本费、小额账户服务费、小额账户管理费，同时免收民政部门的委托代发费、手续费
3	城乡特殊困难群众凭其低保金专用账户存折和低保证（救助证）在其开户金融机构查询一年内交易情况时，应免收查询费。如其存折不慎遗失，应根据当地民政部门出具的低保证（救助证）和遗失证明材料，予以补办，并免收挂失手续费，但同一低保账户免费补办存折次数一年内不得超过两次
序号	(三) 中国银监会《关于银行业金融机构免除部分服务收费的通知》 (银监发〔2011〕22号)
4	个人储蓄账户开户和销户
5	个人银行结算账户开户和销户
6	个人账户同城柜台本行存款、取款和转账（贷记卡账户除外）
7	个人账户密码修改和密码重置
8	个人账户柜台境内本行查询服务
9	个人存折（单）开户工本费、存折（单）销户工本费、存折（单）更换工本费
10	已签约开立的代发工资、低保、医疗、退休金、失业保险、住房公积金账户的年费和账户管理费（含小额账户管理费）
11	向救灾专用账户捐款的跨行转账手续费、电子汇划费、邮寄费和电报费
12	以电子方式提取的12个月内（含）个人账户本行对账单
13	以纸质方式提供本行个人账户当月对账单（至少每月一次），部分金融消费者单独定制的特定对账单除外
14	以纸质方式提供12个月内（含）个人账户本行对账单（每年至少一次），部分金融消费者单独定制的特定对账单除外

序号	(四)《关于支持商业银行进一步改进小型微型企业金融服务的补充通知》 (银监发〔2011〕94号)
15	除银团贷款外, 商业银行不得对小型微型企业贷款收取承诺费、资金管理费, 严格限制对小型微型企业收取财务顾问费、咨询费等费用。(所称“小型微型企业贷款”, 含商业银行向小企业、微型企业发放的贷款及个人经营性贷款。有关企业划分标准按《关于印发中小企业划型标准规定的通知》(工信部联企业〔2011〕300号)规定执行)
序号	(五)《关于印发商业银行服务政府指导价政府定价目录的通知》 (发改价格〔2014〕268号)
16	商业银行为银行客户提供账户变动短信提醒服务并收费的, 应事先通过网点或电子渠道等与银行客户签约; 未与银行客户签约的, 不得收费
序号	(六)《中国人民银行关于信用卡业务有关事项的通知》 (银发〔2016〕111号)
17	取消信用卡滞纳金, 对于持卡人违约逾期未还款的行为, 发卡机构应与持卡人通过协议约定是否收取违约金, 以及相关收取方式和标准。发卡机构向持卡人提供超过授信额度用卡服务的, 不得收取超限费。发卡机构对向持卡人收取的违约金和年费、取现手续费、货币兑换费等服务费用不得计收利息
序号	(七)《中国人民银行关于加强支付结算管理 防范电信网络新型违法犯罪有关事项的通知》 (银发〔2016〕261号)
18	银行对本银行行内异地存取现、转账等业务, 免收异地手续费
序号	(八)《国家发展改革委中国银监会关于取消和暂停商业银行部分基础金融服务收费的通知》 (发改价格规〔2017〕1250号)
19	取消个人异地本行柜台取现手续费。各商业银行通过异地本行柜台(含ATM)为本行个人客户办理取现业务实行免费(不含信用卡取现)
20	暂停收取本票和银行汇票的手续费、挂失费、工本费6项收费
21	根据客户申请, 对其指定的一个本行账户(不含信用卡、贵宾账户)免收年费和账户管理费(含小额账户管理费, 下同)。各商业银行应通过其网站、手机APP、营业网点公示栏等渠道, 以及在为客户办理业务时, 主动告知提示客户申请指定免费账户。客户未申请的, 商业银行应主动对其在本行开立的唯一账户(不含信用卡、贵宾账户)免收年费和账户管理费

序号	(九)《中国银保监会工业和信息化部发展改革委财政部人民银行市场监管总局关于进一步规范信贷融资收费降低企业融资综合成本的通知》(银保监发〔2020〕18号)
22	银行不得收取信贷资金受托支付划拨费。对于已划拨但企业暂未使用的信贷资金,不得收取资金管理费。对于小微企业信贷融资,不得在贷款合同中约定提前还款或延迟用款违约金,取消法人账户透支承诺费和信贷资信证明费
序号	(十)《中国人民银行 银保监会 发展改革委 市场监管总局关于降低小微企业和个体工商户支付手续费的通知》(银发〔2021〕169号)
23	商业银行取消收取支票工本费、挂失费,以及本票和银行汇票的手续费、工本费、挂失费
24	鼓励商业银行在免收一个账户管理费(含小额账户管理费,不含不动户管理费,下同)和年费基础上,对小微企业和个体工商户免收全部单位结算账户管理费和年费

二、本行特别免费服务项目	
序号	(一) 支付结算类
1	对公行内柜台转账汇款
2	对公本行柜台异地通存通兑现金业务
3	商业承兑汇票工本费
4	银行承兑汇票工本费
序号	(二) 银行卡类
5	借记卡开卡/换卡/补卡工本费
6	贵宾客户、白金卡、钻石卡、联名卡免收借记卡书面挂失费用
7	借记卡境内自助设备跨行取款手续费每月前 50 笔免收
8	借记卡境外自助设备交易（含港澳台地区）手续费每月前 50 笔免收（境外交易手续费由境外机构收取）
9	借记卡跨行自助设备圈存手续费每月前 50 笔免收
10	借记卡自助设备跨行转账手续费每月前 50 笔免收
11	借记卡账户管理年费
12	贷记卡年费
13	贷记卡补制对账单费用
序号	(三) 担保类
14	个人二手房付款履约保函
15	个人消费履约保函
序号	(四) 渠道业务类
16	飞天诚信 USBKEY 工本费
17	CFCA 数字证书年服务费
18	网上银行服务年费
19	手机银行服务年费
20	电子银行跨行转账手续费

21	签约客户短信通知服务费
22	手机令牌工本费
序号	(五) 账户管理类
23	符合本行贵宾客户条件的客户免收个人存折、存单挂失
24	对公补制对账单
25	对公账户维护费
26	对公不动户维护费
27	对公账户信息变更
28	对公开户
29	对公销户
30	个人小额账户管理费
备注：本行特别免费项目均为暂时免费项目，在下次优惠政策调整前免收，如有变动以本行最新公示为准。	

云南红塔银行金融服务收费项目—政府定价及政府指导价

项目代码	收费项目	服务功能	收费对象	收费标准	收费依据	备注
B00001	个人跨行柜台转账汇款手续费（政府指导价）	通过柜台将个人客户的资金从本行账户（不含信用卡）转移到其他银行（含同城和异地）的账户	业务申请人	0.2 万元以下（含 0.2 万元），2 元/笔； 0.2 万-0.5 万元（含 0.5 万元），5 元/笔； 0.5 万-1 万元（含 1 万元），10 元/笔； 1 万-5 万元（含 5 万元），15 元/笔； 5 万元以上，按金额的 0.03%收取，最高不超过 50 元/笔。	国家发展改革委、中国银监会《关于印发商业银行服务政府指导价政府定价目录的通知》（发改价格〔2014〕268 号）	符合本行贵宾客户条件的客户或者办理了钻石卡、联名卡在下一调整期前免收； 汇划财政金库、救灾、抚恤金等款项免收手续费。
B00002	对公跨行柜台转账汇款手续费（政府指导价）	通过柜台将对公客户的资金从本行账户转移到其他银行（含同城和异地）的账户，借记业务比照此项收费	业务申请人	1 万元以下（含 1 万元），5 元/笔； 1 万-10 万元（含 10 万元），10 元/笔； 10 万-50 万元（含 50 万元），15 元/笔； 50 万-100 万元（含 100 万元），20 元/笔； 100 万元以上，按金额的 0.002%收取，最高不超过 200 元/笔。	国家发展改革委、中国银监会《关于印发商业银行服务政府指导价政府定价目录的通知》（发改价格〔2014〕268 号）； 《中国人民银行 银保监会 发展改革委 市场监管总局关于降低小微企业和个体工商户支付手续费的通知》（银发〔2021〕169 号）。	"符合本行贵宾客户条件的客户在下一调整期前免收； 汇划财政金库、救灾、抚恤金等款项免收手续费； 代发工资、退休金、养老金等，每笔收取 2 元。 2021 年 9 月 30 日至 2024 年 9 月 30 日期间，对小微企业和个体工商户通过柜台渠道进行的单笔 10 万元（含）以下的对公跨行转账汇款业务实行 9 折优惠。

云南红塔银行金融服务收费项目—政府定价及政府指导价

项目代码	收费项目	服务功能	收费对象	收费标准	收费依据	备注
B00003	个人现金汇款 手续费（政府 指导价）	通过柜面将个人客户 现金汇入其他银行 （含同城和异地）的 账户	业务申请人	每笔按汇款金额的 0.5%收取， 最高不超过 50 元	国家发展改革委、中国银监会《关 于印发商业银行服务政府指导价 政府定价目录的通知》（发改价 格〔2014〕268 号）	在下次调整前免收受理个人客 户现金汇入异地本行账户的手续 费； 汇划救灾等款项免收手续费。
B00004	支票手续费 （政府指导 价）	本行为个人或公客 户办理支票业务	业务申请人	1 元/笔	国家发展改革委、中国银监会《关 于印发商业银行服务政府指导价 政府定价目录的通知》（发改价 格〔2014〕268 号）	

云南红塔银行金融服务收费项目—市场调节价序列—支付结算类（柜台）

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C01001	发出委托收款、托收承付	为客户办理委托收款、托收承付业务 (注：托收承付、委托收款按邮局规定标准代收邮费)	业务申请人	手续费：1.00 元/笔 邮 费：按邮局收费标准代收邮费	
C01002	个人行内柜台异地转账汇款	个人客户通过本行柜台将资金从本行账户转移到本行其他（限于异地）账户	业务申请人	免费	
C01003	对公行内柜台转账汇款	对公客户通过本行柜台将资金从本行账户转移到本行其他（限于异地）账户	业务申请人	手续费： 1 万元以下(含 1 万元)，每笔收费 3 元； 1 万—10 万元(含 10 万元)，每笔收费 5 元； 10 万—50 万元(含 50 万元)，每笔收费 7 元； 50 万—100 万元(含 100 万元)，每笔收费 10 元； 100 万元以上，按金额的 0.001%收取，每笔最高收费不超过 200 元。	下一次优惠政策调整前免收
C01004	委托收款、托收承付付款业务	为客户办理委托收款、托收承付付款业务	付款人	通过跨行转账汇款方式办理的按政府指导价 B00001、B00002 收费项目标准执行； 通过行内转账汇款方式办理的按市场调节价 C01002、C01003 收费项目标准执行。	
C01005	个人异地本行柜台存入现金	通过异地本行柜台为本行个人客户办理存现业务（不含信用卡）	业务申请人	参照异地柜台取现免收手续费。	

云南红塔银行金融服务收费项目—市场调节价序列—支付结算类（柜台）

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C01006	对公本行柜台异地通存通兑现金业务	通过异地本行柜台为本行对公客户办理取现业务	业务申请人	当日累计取现5万以上,按超出部分金额计收0.5%—1.5%收取。	下一次优惠政策调整前免收
C01007	异地储蓄托收	为客户办理异地储蓄存款托收业务	业务申请人	按托收金额的1%收取,最低1元。	
C01008	对公跨行柜台转账汇款查询	为客户办理查询(注:系指不是因为银行工作差错造成的未收到款项,查询如已查复的,应按规定标准收取查询费。)	业务申请人	手续费:0.50元/笔 邮电费:5.85元/笔	
C01009	个人支付系统查询	为客户办理查询	业务申请人	书面查询费5元/笔	
C01010	凭证工本费	客户办理业务时各类需付费使用的凭证	凭证购买人	转账进账单:3.5元/本; 现金进账单:2.5元/本; 托收凭证:0.2元/份; 业务委托书:0.2元/份; 商业承兑汇票:免费; 银行承兑汇票:免费。	

云南红塔银行金融服务收费项目—市场调节价序列—银行卡类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C02001	借记卡开卡/换卡/补卡工本费	持卡人因申请开卡或因卡片消磁、挂失换开等原因向发卡行申请补/换卡	持卡人	磁条卡：5 元/张 复合卡：10 元/张 单芯片卡：10 元/张	下一次优惠政策调整前免收
C02002	借记卡书面挂失	持卡人卡片丢失，到发卡行申请书面挂失以保障持卡人资金安全	持卡人	10 元/笔	贵宾客户、白金卡、钻石卡、联名卡在下一优惠政策调整前免收
C02003	借记卡境内自助设备跨行取款手续费	持卡人持本行借记卡在他行自助设备上办理取款业务	持卡人	3.5 元/笔	下一次优惠政策调整前，每月前 50 笔免收
C02004	借记卡境外自助设备交易(含港澳台地区)手续费	持卡人通过境外(含港澳台地区)自助设备办理取款业务、余额查询业务	持卡人	查询：2 元/笔 取款：12 元/笔	下一次优惠政策调整前，每月前 50 笔免收(境外交易手续费由境外机构收取)
C02005	借记卡跨行自助设备圈存手续费	持卡人持本行借记卡在他行自助设备上办理电子现金圈存交易	持卡人	现金圈存：0.9 元/笔 指定账户圈存：0.5 元/笔 非指定账户圈存：0.9 元/笔	下一次优惠政策调整前，每月前 50 笔免收
C02006	借记卡自助设备跨行转账手续费	持卡人使用本行或他行自助设备办理跨行转账业务	持卡人	20 元/笔	下一次优惠政策调整前，每月前 50 笔免收
C02007	借记卡账户管理年费	为持卡人提供各类多功能的综合化金融服务	持卡人	根据客户申请，对其指定的一个本行账户免收；客户未申请的，对其唯一账户免收。	下一次优惠政策调整前免收

云南红塔银行金融服务收费项目—市场调节价序列—银行卡类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C02008	贷记卡年费	贷记卡账户管理及相关服务	持卡人	普卡 50 元/年 金卡 100 元/年	下一次优惠政策调整前免收
C02009	贷记卡换卡工本费	持卡人因卡片消磁、芯片损坏等原因向发卡行申请换卡（除到期换卡、挂失换卡外的其他换卡）	持卡人	20 元/张	
C02010	贷记卡书面挂失补卡手续费	持卡人卡片丢失，申请挂失以保障持卡人资金安全（含挂失换卡工本费）	持卡人	50 元/卡	
C02011	贷记卡预借现金手续费	本行和他行渠道预借现金的取现、转账和充值，以及挂失后，境内柜台紧急取现	持卡人	1. 通过本行柜台/本行自助设备办理：按预借现金金额的 1%收取，不足 3 元按 3 元收取； 2. 通过境内他行自助设备办理：按预借现金金额的 1%收取，不足 3 元按 3 元收取，另加收固定手续费 2 元； 3. 通过境外他行自助设备办理：按预借现金金额的 1%收取，不足 3 元按 3 元收取，另外加收固定手续费 20 元。	
C02012	贷记卡溢缴款取现手续费	持卡人通过本行柜台、本行自助设备、境内他行自助设备、境外他行自助设备办理溢缴款取现业务	持卡人	本行：免费 境内跨行：2 元/笔 境外：20 元/笔	
C02013	贷记卡调阅交易原始单据服务	持卡人申请调阅交易原始单据时收取	持卡人	10 元/份	

云南红塔银行金融服务收费项目—市场调节价序列—银行卡类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C02014	贷记卡补制对账单费用	持卡人因对账单遗失等原因，申请再次提供对账单服务	持卡人	5 元/份	下一次优惠政策调整前免收
C02015	贷记卡违约金	收取贷记卡违约金（持卡人如未于每月最后还款日前还清当期最低还款额时收取）	持卡人	按最低还款额未还部分的 5%收取，最低 5 元	
C02016	贷记卡分期业务	持卡人办理分期付款、分期付款展期业务	持卡人	按不低于同期贷款基准利率收取	
C02017	收单业务手续费	我行向协议客户提供资金结算服务	POS 特约商户	银行卡刷卡手续费（含借记卡、信用卡等）、财务 POS、清算服务费：按协议价格收取	2021 年 9 月 30 日至 2024 年 9 月 30 日期间，对标准类商户借记卡实施 9 折优惠（对已经享受优惠价的商户继续执行优惠政策），封顶值维持不变；对优惠类商户继续实行 7.8 折优惠。

云南红塔银行金融服务收费项目-市场调节价序列-代理类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C03001	代理公用事业收费	接受委托人委托，通过本行与第三方连接的网络及系统为客户提供缴纳水、电、气等公用事业缴费的便利服务	委托人	按本行与委托人的协议执行	
C03002	代理行政事业性收费	通过本行各种渠道代理行政事业性收费	行政事业单位	按本行与行政事业单位的协议执行	
C03003	代理税款服务	本行接受税务局委托利用本行渠道，代收指定税费	税务局	按本行与委托人的协议执行	
C03004	代理保险业务	本行接受保险公司委托，利用本行渠道代理销售保险产品、代付保险金等业务	保险公司	按本行与委托人的协议执行	
C03005	代理实物贵金属销售	本行接受委托人委托，代理销售实物贵金属	委托人	按本行与委托人的协议执行	
C03006	代理发行、承销、兑付证券	接受证券公司委托，为证券发行人提供发行、兑付债券的结算服务	委托人	按本行与委托人的协议执行	
C03007	提前兑付企业债券	客户持未到期的企业债券向本行申请提前兑付	持券人	按发行文件执行	
C03008	代理销售基金	接受基金公司委托，利用本行各种渠道代理基金公司向投资人进行基金代售服务	基金公司	按照各基金公司的相关规定执行	
C03009	代理社会保障基金发放	本行接受社保部门委托，代理发放社会保障基金	社保部门	按本行与委托人的协议执行	

云南红塔银行金融服务收费项目-市场调节价序列-代理类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C03010	债券承销手续费	为发行人或主承销商进行债券销售服务或分销服务（包括银行间市场交易商协会、证券交易所、北京金融资产交易所等平台市场相关产品的承销和分销服务）	发行人/ 主承销商	根据债券发行的市场公告或与交易对手签订的交易合同收取	
C03011	债券结算代理费	本行接受其他企事业单位机构参与者的委托，为其办理债券开户、交易、结算代理等业务	委托方	参照中央国债登记结算公司的缴费通知书规定及合同约定执行	
C03012	提前兑付国债	客户持本行代理发行的未到期的国债向本行申请提前兑付，由本行垫付资金办理提前兑付	申请人	根据财政部各期国债发行文件规定执行	
C03013	代理发行/到期兑付国债	本行按财政部要求向社会公众代理发行、兑付国债	财政部	根据财政部各期国债发行文件规定执行	
C03014	代理积存金业务	本行接受客户委托，为客户提供积存金业务服务	委托人	按本行与委托人的协议执行	
C03015	代理资金收付手续费	本行接受客户委托进行相关款项的代理收付	委托人	按双方代理协议约定执行	
C03016	代理信托计划业务手续费	根据与信托公司签订的代理协议约定，代理信托公司推荐信托计划和收付信托资金。	业务申请人	按协议价格收取	

云南红塔银行金融服务收费项目-市场调节价序列-代理类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C03017	代理商业银行承兑、签发汇票业务	为同业客户提供办理承兑汇票、签发汇票等结算业务。	业务申请人	以代理金额为基数按 0.2%--0.5%收取或按协议价格收取。	
C03018	代理查询	客户委托我行将其合法持有的商业汇票通过实地、人行大额支付系统向承兑行办理查询业务。	业务申请人	1、系统查询：30 元/笔； 2、实地查询：按协议价格收取； 3、企业电子商业/银行承兑汇票支付信用信息查询：按人民银行标准收取。	若每次交易金额达 5000 万元，可根据实际交易量进行优惠。
C03019	代理证券公司集合资产管理产品收付	代理证券公司资产管理产品收付。	业务申请人	按协议价格收取。参考费率：0.5%—1%。	
C03020	金融衍生业务交易手续费	代理客户办理金融衍生业务交易登记，为客户提供金融衍生业务交易服务等	投资人	按衍生品交易所规定收取	

云南红塔银行金融服务收费项目-市场调节价序列-代理类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C03021	代理金库	为金融同业提供金库代理业务	委托人	<p>(1) 金融同业现金箱寄存业务，代理一个现金款箱首年收费起点 6 万元，代理保管两个现金款箱首年收费起点 12 万元，收费上限双方协议商定，次年收费在上年收费基础上上浮不低于百分之十，合作期内以此类推；</p> <p>(2) 向金融同业提供现金领缴业务的，领缴代理费首年起点 10 万元，收费上限双方协议商定，次年代理费在上年收费的基础上上浮不低于百分之十，合作期内以此类推。</p> <p>(3) 以上收费不含押运费，如需押运，收费按协议执行。</p>	如代理机构在我行有同业存款的，可适当降低领缴代理费：同业存款日均存款达 800 万元以上，可降低当年收取代理费的百分之二十；同业存款日均存款 800 万元（不含 800 万元）至 1500 万元（含 1500 万元）以上，可降低当年收取代理费的百分之三十；同业存款日均存款超过 1500 万元，收费标准按协议执行。
C03022	人民币理财产品销售	向客户销售本外币理财产品，以及向私人银行客户销售专享理财产品、提供专户理财、受托资产服务和全权委托资产服务，按协议收取相应服务及管理费。	服务受益客户	按理财产品协议或说明书规定收取	

云南红塔银行金融服务收费项目-市场调节价序列-代理类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C03023	代理其他金融机构法人理财产品	通过我行柜面、网银等渠道，代销基金公司所发行和投资管理运作的各类理财产品；代理认购、申购、赎回、转换、尾随佣金、持续营销服务、基金定投、代理转托管、代理非交易过户等服务。	服务受益客户	按协议价格收取	

云南红塔银行金融服务收费项目-市场调节价序列-担保类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C04001	个人二手房付款履约保函	为个人客户二手房交易提供出具保函服务	业务申请人	1、对申请人未缴纳全额保证金的，对缴纳保证金金额与出具保函金额差额部分按以下标准收取： (1) 10万元以内(含10万)：200元/笔； (2) 10万元以上至30万元(含)：600元/笔； (3) 30万元以上至50万元(含)：1000元/笔； (4) 50万元以上，按金额的2%-5%，最高不超过1万元。 2、对申请人缴纳全额保证金的免收手续费。	下一次优惠政策调整前免收
C04002	个人消费履约保函	为客户消费合同项下的履约义务出具保函	业务申请人	按保函金额的2%-5%收取，但不低于200元/笔	下一次优惠政策调整前免收
C04003	融资类保函	我行作为担保人，应被担保人的申请，以书面形式向受益人承诺，当被担保人未按其与受益人签订的合同约定偿还债务或履行义务时，由我行在担保协议约定的责任范围内代其履行偿付责任。	业务申请人	按保函金额0.5%-1%/季收取，最低不少于500元。一次收取或按季收，不足一季按一季收取，足额保证金可只收取一次。	
C04004	非融资类保函	我行作为担保人，应被担保人的申请，以书面形式向受益人承诺，当被担保人未按其与受益人签订的合同约定履行义务时，由我行在担保协议约定的责任范围内代其履行偿付责任。	业务申请人	按担保金额的1%-5%/季收取，最低不少于300元。一次收取或按季收，不足一季按一季收取，足额保证金可只收取一次。	

云南红塔银行金融服务收费项目-市场调节价序列-担保类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C04005	保函修改	修改保函文本条款，我行在修改后的保函约定的责任范围内承担担保责任。	业务申请人	200元/份，修改中因增额或其它因素导致我行信用风险敞口加大或义务加重的，按新开同类型保函收费标准收取。	
C04006	保函展期	修改保函有效期，我行按照展期后的保函约定的责任范围内承担担保责任。	业务申请人	按新开同类型保函收费标准收取。	
C04007	银行承兑汇票 手续费	为客户办理银行承兑汇票承兑服务	业务申请人	按票面金额的0.05%收取。	

云南红塔银行金融服务收费项目-市场调节价序列-担保类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C04008	国内信用证	国内信用证开立、承兑、付款； 通知、寄单	业务申请人	1、开证：按信用证金额的 1.5%收取，最低不少于 200 元；有效期三个月以上每三个月加收 0.5%。（未满三个月的按三个月计），收足保证金者不增收。另加收电报费。 2、修改：100 元/笔；增额修改的，按增额部分的 0.15% 收取，最少不低于 100 元。另加收电报费。 3、延期付款确认：按开证金额的 0.1% 收取，全额保证金可免；另加收电报费。 4、不符点费：100 元/个，最高不超过 500 元，另加收电报费。 5、退单、撤证：100 元/笔，邮费按实收取；如发报，另加收电报费。 6、通知及修改通知：100 元/笔。 7、审单、寄单：按审单/寄单金额的 0.1% 收取，最少不低于 200 元，邮费按实收取。如发报，另加收电报费。 8、改单、换单：100 元/笔，涉及增额的，按增额部分的 0.1% 收取，最少不低于 100 元，邮费按实收取。如发报，另加收电报费。 9、催收、无偿放单、撤证：100 元/笔，邮费按实收取；如发报，另加收电报费。	
C04009	国内信用证项下福费廷	福费廷业务相关的业务方案咨询、询价、单据处理、报文收发、额度安排、无追索权债权买断卖断安排等服务	业务申请人	与客户协商定价，费率最高不超过福费廷金额的 3%/年，最低为人民币 300 元/笔	

云南红塔银行金融服务收费项目-市场调节价序列-承诺类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C05001	贷款承诺	我行与客户签订具有法律约束力的合同或协议后,在未来约定的有效期内,按照商定的条款为客户提供约定数额信贷支持的承诺。	业务申请人	按照合同金额与借款人已提款项(计费周期内日均余额)的差额一次性或分次向借款人收取,费率按年费率3%—5%确定。或按协议收取。	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》(工信部联企业[2011]300号)规定的小型 and 微型企业,予以免收。
C05002	法人账户透支承诺	核定客户账户透支额度后,承诺允许其在账户存款不足以对外支付时,可在约定的期间、额度内,按商定的条件通过透支方式取得资金,并为客户提供流动性便利。	业务申请人	按核定的透支额度一次性收取,年费率按最高不超过0.3%确定,或按协议价格收取。	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》(工信部联企业[2011]300号)规定的小型 and 微型企业,予以免收。
C05003	承诺授信额度	承诺授信额度允许向客户公开,在约定额度内可向客户承诺在一定期限内、一定条件下可随时对客户提提供融资。	业务申请人	按在规定的用款期限内未使用的授信额度×相应比率。 客户信用等级在AA+(含)及以上的:抵(质)押0.5%,保证1%,信用1.5%;按授信额度*费率/年一次性向客户收取,最低不低于0.3%。 AA:抵(质)押1.5%,保证2%,信用2.5%;按授信额度*费率/年一次性向客户收取,最低不低于0.5%。 AA-:抵(质)押2.5%,保证3%,信用3.5%;按授信额度*费率/年一次性向客户收取,最低不低于1%。	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》(工信部联企业[2011]300号)规定的小型 and 微型企业,予以免收。

云南红塔银行金融服务收费项目-市场调节价序列-承诺类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C05004	循环贷款承诺	我行与客户签订具有法律约束力的合同或协议后,在未来约定的有效期内,按照商定的条件、以循环方式为客户提供约定数额信贷资金。	业务申请人	按照合同金额与借款人已提款项(计费周期内日均余额)的差额一次性或分次向借款人收取,费率按年费率1%—5%确定。或按协议价格收取。	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》(工信部联企业〔2011〕300号)规定的小型 and 微型企业, 予以免收。
C05005	信贷证明	应投标人和招标人或项目业主要求,在项目投标人资格预审阶段开出,用以证明投标人中标后,如确有资金需求,经我行审查审批同意后,可获得一定额度的信贷资金的证明文件。	业务申请人	按出具信贷证明金额的0.05%—0.1%收取,最低500元/份;或按协议价格收取。	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》(工信部联企业〔2011〕300号)规定的小型 and 微型企业, 予以免收。
C05006	出具贷款意向书/承诺书	应客户申请,在其报批项目建议书时,向国家有关部门表明我行有提供信贷支持意向的证明文件。	业务申请人	按出具意向书/承诺书金额的0.05%—0.1%收取,最低500元/份,或按协议价格收取。同一内容的多份文件,每份加收100元。	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》(工信部联企业〔2011〕300号)规定的小型 and 微型企业, 予以免收。

云南红塔银行金融服务收费项目-市场调节价序列-承诺类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C05007	国内保理	卖方将其现有或将来的基于其与买方订立的货物销售、服务合同所产生的应收账款转让给我行，我行为其提供贸易融资、销售分户账管理、应收账款的催收、信用风险控制与坏账担保服务。	业务申请人	<p>1、国内卖方保理业务手续费：按转让应收账款的金额收取：3个月以内（含）无追索权保理 0.5%—4%；有追索权保理 0.1%—3%。如付款期限超过 3 个月的，每增加 3 个月（含）加收 0.1%—0.3%，不足 3 个月按 3 个月计。</p> <p>2、国内买方保理业务手续费：按融资/信用风险担保的金额收取：3 个月以内（含）0.5%—4%，每增加 3 个月加收 0.1%—0.3%，不足 3 个月按 3 个月计。采用与保险公司合作的信保理形式，加收 0.2%；暗保理业务额外加收 0.2% 的保理费。</p> <p>3、保理单据处理费：按发票张数进行收取，100 元/张。</p> <p>4、保理项下买方信用风险担保额度使用承诺：0.25%—1%，额度通知书签发日起每新增 90 天计算收取一次。计算公式：收费金额=（买方信用风险担保额度/付款期限天数*90-对应买方的 90 天累计转让量）*费率。如（买方信用风险担保额度/付款期限天数*90-对应买方的 90 天累计转让量）为负值，则不予收取该项费用。</p> <p>5、保理项下特殊账款管理和托收：按所管理的应收账款总额的 0.3%—1%收取。</p> <p>6、保理项下交易对手资信调查：2000 元/单个买家—10000 元/单个买家。</p> <p>7、保理项下到期保理安排与服务：按应收账款金额的 1%—3%收取。</p>	
C05008	提前还款违约金	客户在我行贷款期间，申请办理提前部分或全部归还贷款。	业务申请人	按协议价格收取。	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》（工信部联企业〔2011〕300号）规定的小型 and 微型企业，予以免收。

云南红塔银行金融服务收费项目-市场调节价序列-托管及其他受托业务类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C06001	委托贷款	根据委托协议约定,为客户办理相关委托贷款业务服务	委托人	一、公司类委托贷款:以委托贷款初始发放资金金额为基础,按下列比例标准计收,不足 1000 元,按 1000 元/笔计收: 1、1000 万元(含 1000 万元)以下 0.2%—3%; 2、1000 万元—10000 万元(含 10000 万元) 0.15%—1%; 3、10000 万元以上 0.03%—0.2%; 二、个人类委托贷款:以委托贷款初始发放资金金额为基础,按下列比例标准计收,不足 500 元,按 500 元/笔计收: 1、100 万元(含 100 万元)以下 0.2%—3%; 2、100 万元—1000 万元(含 1000 万元) 0.15%—1.5%; 3、1000 万元以上 0.05%—0.1%;	
C06002	委托贷款转让手续费	为客户办理委托贷款转让相关业务	委托人	转让委托贷款债权本金金额的 1%。	
C06003	委托贷款继承手续费	委托贷款作为被继承遗产和被遗赠财产的,为客户办理新的委托贷款的相关手续。	继承人/遗赠人	按继承或受遗赠委托贷款本金的 1%。	
C06004	资产托管和管理费(股权投资基金等)	本行接受客户委托为其提供资金管理、清算划拨、会计核算、估值、监督管理人投资运作等服务。	投资人/委托人	按本行与投资人/委托人的协议执行	
C06005	系统托管费	为委托方提供满足其应用需求的计算机系统运行环境,并提供信息系统综合业务处理托管服务	委托人	按本行与委托人的协议执行	

云南红塔银行金融服务收费项目-市场调节价序列-咨询顾问类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C07001	财务顾问业务	1、为客户大型建设项目、并购、提高资产使用效率、改善财务结构等财务事项提供融资结构、融资安排、财务分析等专业性方案,并参与公司结构、资本充实、重组等策划和操作过程,包括并不限于整个交易结构及实施的一揽子金融服务,如机构合作洽谈、服务方案制定、合同签订、寻求资金渠道、后续结算服务等; 2、为金融同业客户提供投资资金、资产管理、金融合作等服务,包括金融产品设计、信息披露、到期兑付等,以及开展同业业务过程中所涉及的资金监管、财务顾问、代理收付等服务费。	服务受益客户	双方协商确定	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》(工信部联企业〔2011〕300号)规定的小型 and 微型企业,予以免收。
C07002	资产管理顾问业务	为机构投资者或个人投资者提供全面的资产管理服务,包括投资组合建议、投资分析、税务服务、信息提供、风险控制等	服务受益客户	按协议价格收取	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》(工信部联企业〔2011〕300号)规定的小型 and 微型企业,予以免收。
C07003	企业常年财务顾问	我行充分利用人才、信息、科技等优势,为客户提供的持续性和有偿性财务顾问服务,包括专属咨询服务(提供财务诊断和金融产品等方面的咨询顾问服务)和研究咨询服务(定期或不定期地提供关于宏观经济、金融市场、行业发展和企业管理等方面的信息研究产品)。	服务受益客户	按协议价格收取。参考标准:1-20万元/户/年。	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》(工信部联企业〔2011〕300号)规定的小型 and 微型企业,予以免收。

云南红塔银行金融服务收费项目-市场调节价序列-咨询顾问类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C07004	投融资顾问	投融资顾问业务，是我行为客户（含私人银行客户）的投资和融资活动提供的诸如投资计划与方案设计、投资产品风险与收益分析、投资期限结构合理搭配；融资计划与方案设计、融资工具选择、融资成本控制、期限结构搭配、信用增级与偿债计划设计等方面的综合投融资顾问服务。	服务受益客户	工作费：最低 2000 元/天/人，住宿费、交通费、资料费等其他费用由委托方据实支付；或按协议价格收取。成功费：按投融资总额的 0.5—2.5%收取；或按协议价格收取。	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》（工信部联企业〔2011〕300 号）规定的小型 and 微型企业，予以免收。

云南红塔银行金融服务收费项目-市场调节价序列-渠道业务类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C08001	飞天诚信 USBKEY 工本费	为网银系统用户提供存放数字证书的 UKEY 载体	网银系统用户	30 元/个	下一次优惠政策调整 前免收
C08002	CFCA 数字证书 年服务费	为网银系统用户提供向中国金融认证中心申请认证服务	网银系统用户	(1) 公司网银数字证书: 160 元/年 (2) 个人网银数字证书: 30 元/年	下一次优惠政策调整 前免收
C08003	网上银行服务年 费	为本行网银系统用户提供高效、快捷的多样化金融服务	网银系统用户	10 元/年/户	下一次优惠政策调整 前免收
C08004	手机银行服务年 费	为本行手机银行系统用户提供高效、快捷的多样化金融服务	手机银行用户	10 元/年/户	下一次优惠政策调整 前免收
C08005	电子银行跨行转 账手续费	客户利用本行网银、手机银行等电子渠道通过支付清算系统办理跨行转账汇款业务	相关渠道用户	企业: 对应柜台业务标准 8 折收取 个人: 对应柜台业务标准 5 折收取	下一次优惠政策调整 前免收
C08006	签约客户短信通 知服务费	为签约客户提供账务信息及产品信息等短信通知服务	业务申请人	个人客户 (除贷记卡外): 1 元/月/每个签约手机号 企业: 5 元/月/每个签约手机号	下一次优惠政策调整 前免收
C08007	手机令牌工本费	为网银系统用户提供手机银行转账或授权的安全认证方式	网银系统用户	20 元/个	下一次优惠政策调整 前免收

云南红塔银行金融服务收费项目—市场调节价序列—账户管理类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C09001	对公印鉴挂失	提供预留账户印鉴挂失和变更服务	申请人	挂失费：50 元/次	
C09002	单位存款凭证书面挂失	本行受理对公客户定期存款证实书等存款凭证挂失，保障客户资金安全。	申请人	挂失费：50 元/笔	
C09003	个人存折、存单挂失	办理储蓄存单、存折挂失手续	申请人	挂失费：10 元/笔	符合本行贵宾客户条件的客户在下一调整期前免收
C09004	更换印鉴	根据客户申请为客户办理印鉴变更手续	申请人	10 元/次	
C09005	个人资信证明	根据客户申请提供涉及个人资信、个人产品情况证明	申请人	20 元/份	
C09006	资信询证费	1.根据客户委托出具结算业务书面证明。 2.注册会计师在依法执行审计过程中，以被审计企业名义向银行发函询证，以验证企业的银行存款、借款及往来款项是否真实、合法、完整。	对公客户	1.单位存款证明：200 元/份； 2.询证函：200 元/份	对符合工信部、国家统计局、国家发改委、财政部《关于印发中小企业划型标准规定的通知》（工信部联企业〔2011〕300号）规定的小型 and 微型企业免收询证函手续费。
C09007	对公补制对账单	提供打印客户对账单	申请人	补制当年对账单 10 元/份，补制跨年对账单 20 元/份	下一次优惠政策调整前免收

云南红塔银行金融服务收费项目—市场调节价序列—账户管理类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C09008	对公账户维护费	对公账户的维护	申请人	日均存款余额在 10000 元以下 (不含 10000 元) 的存款账户收取 45 元/季 (标准可由分行向总行申请) , 每季固定日期统计日均余额, 按季收取, 不足一季不收, 账户余额不足时扣至零为止。	下一次优惠政策调整前免收
C09009	对公不动户维护费	为客户办理对公账户转不动户 (对公不动户: 指一年 (含) 未发生收付活动的对公账户)	申请人	对公账户转不动户时一次性收取 50 元	下一次优惠政策调整前免收
C09010	对公账户信息变更	为客户办理账户信息变更	申请人	10 元/次	下一次优惠政策调整前免收
C09011	对公开户	为客户提供结算、验资、增资账户的开户	申请人	开户存款金额在 10 万元以上 (含 10 万元) 的免费, 低于 10 万元的每户收取 50 元。	下一次优惠政策调整前免收
C09012	对公销户	为客户提供结算账户的销户	申请人	50 元/户	下一次优惠政策调整前免收
C09013	个人小额账户管理费	个人小额账户管理服务	存款人	1. 日均存款余额低于 500 元的存款账户 (不含信用卡) : 2 元/户/季; 2. 签约开立的代发工资账户、退休金账户、低保账户、医保账户、失业保险账户、住房公积金账户免收小额账户管理费; 3. 客户可向本行申请指定一个账户 (信用卡、贵宾账户除外) 免收管理费; 4. 客户未指定免费账户的, 本行有权指定客户除信用卡、贵宾账户外的任意一个账户为免费账户	下一次优惠政策调整前免收

云南红塔银行金融服务收费项目—市场调节价序列—外汇业务类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C10001	汇入汇款	汇入汇款退汇业务	业务申请人	1、对公：按退汇金额的 1‰收取，最高不超过 1000 元/笔，最低不少于 100 元/笔。受益人拒收或无受益人时，从退汇款中等值扣收。另加收电报费。 2、个人：按退汇金额的 1‰收取，最高不超过 300 元/笔，最低不少于 20 元/笔。受益人拒收或无受益人时，从退汇款中等值扣收。另加收电报费。	
C10002	汇出汇款	境内外外币汇款业务	业务申请人	1、对公：按汇出额 1‰收取，境外电汇、境内异地电汇最高不超过 1000 元/笔，最低不少于 100 元/笔，同城电汇最低 20 元/笔，最高 200 元/笔。另加收电报费。 2、个人：按汇出额 1‰收取，最高不超过 260 元/笔，最低不少于 50 元/笔。另加收电报费。	
C10003	出口托收	出口托收寄单、改单、无偿放单、催收、退单业务	业务申请人	1、对公光票托收：按票据金额 1‰收取，最高不超过 1000 元/笔，最低不少于 50 元/笔。邮寄费按实收取。 2、跟单托收：按托收金额的 1‰，最高不超过等值 2000 元/笔，最低不少于等值 100 元/笔。邮寄费按实收取。 3、改单：按 100 元/笔收取。邮寄费按实收取。 4、无偿放单、催收：按 100 元/笔收取。另加收电报费。 5、退单：按 100 元/笔收取，境外费用据实收取，另加收电报费。	
C10004	进口代收	进口代收收单、换单、退单业务	业务申请人	1、跟单代收：按代收金额 1‰收取，最高不超过 3000 元/笔，最低不少于 100 元/笔。 2、退单、换单：按 200 元/笔收取。若向境外银行收取，则等值 USD50。邮寄费按实收取。需发电报的另加收电报费，向境外银行收取的，等值 USD30/笔。	
C10005	出口信用证	出口信用证通知、通知修改、审单寄单、改单、撤销业务	业务申请人	1、通知/转递：按 200 元/笔收取。 2、通知修改：按 100 元/笔收取。 3、注销/撤销：按 100 元/笔收取。另加收电报费。 4、议付/审单：按出单金额 1.25‰收取，最低 300 元/笔。邮寄费按实收取。 5、改单：按 100 元/笔收取，涉及增额的按增加金额的 1.25‰收取。邮寄费按实收取，另加收电报费。	

云南红塔银行金融服务收费项目—市场调节价序列—外汇业务类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C10006	进口信用证	进口信用证开证、修改、转让、承兑、付款、退单、撤证业务	业务申请人	1、开证：按信用证金额的 1.5‰收取，最低不少于 200 元；效期三个月以上每三个月加收 0.5‰（未满三个月的按三个月计），收足保证金者不加收。另加收电报费。 2、修改：按 100 元/笔收取（涉及金额、期限增加按信用证开立标准收取）。另加收电报费。 3、转让：按转让金额的 1‰收取，最低不少于 300 元。另加收电报费。 4、承兑：按承兑额 1‰收取；最低不少于 200 元/笔，另加收电报费。向受益人收取的，等值收取。 5、不符点费：按 USD50/个收取；两个及两个以上均按 USD100 收取。另加收电报费。 6、转让信用证单据处理费：按等值 USD30/笔内扣。 7、退单：按 200 元/笔收取。邮寄费按实收取。发报通知的，另加收电报费。 8、付款：USD50/笔。另加收电报费。 9、转让信用证付汇：按等值 USD20/笔内扣。另加收电报费。 10、信用证撤销：按 100 元/笔收取。另加收电报费。	
C10007	涉外保函	涉外保函开立、修改、付款、查询业务	业务申请人	1、开立非融资性保函：按保函开立金额的 1‰—5‰/季，最低不少于 300 元。另加收电报费。 2、开立融资性保函：按保函金额 0.5%—1%/季收取，最低不少于 500 元。另加收电报费。 3、保函修改：按 200 元/笔收取。如涉及金额、期限修改按保函开立标准收取。另加收电报费。 4、保函撤销：按 160 元/笔收取。 5、保函付款：内扣等值 USD50/笔。收电报费等值 USD30/份，均扣收受益人。 6、查询：按 100 元/笔收取。另加收电报费。	
C10008	电报费	通过 SWIFT 系统发送报文业务	业务申请人	1、境内 20 元/笔 2、港澳台 80 元/笔 3、境外 160 元/笔	

云南红塔银行金融服务收费项目—市场调节价序列—其他服务类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C11001	电子商业汇票服务	为客户提供基于数据电文形式的一揽子商业汇票服务。	业务申请人	按协议价格收取	
C11002	国内贸易融资结构安排	为客户提供国内贸易融资方案设计、资金管理。	业务申请人	按协议价格收取	
C11003	互联网收单业务	商户通过条码支付、银行卡线上支付、对公账户支付等方式实现收单	业务申请人	按协定价格收取	
C11004	银团贷款顾问费	我行在银团贷款前期筹备阶段及后期融资服务过程中提供业务咨询顾问服务	服务受益客户	按不低于银团贷款总额 0.1%—0.5%的比例收取,或按协议价收取。	
C11005	银团贷款安排费及承销费	我行担任银团贷款牵头行提供银团贷款筹组安排与贷款分销服务	服务受益客户	按不低于银团贷款总额的0.25%的比例一次性收取, 或按协议价收取。	
C11006	银团贷款代理费	我行担任银团贷款代理行提供银团贷款事务管理和协调等服务	服务受益客户	根据代理行的工作量按有关协议的约定按年收取	

云南红塔银行金融服务收费项目—市场调节价序列—其他服务类

项目代码	收费项目	服务功能	收费对象	收费标准	优惠政策
C11007	银团贷款 承诺费	我行作为银团贷款行提供银团贷款或授信服务	服务受益客户	按不低于未用贷款余额 0.2%的比例每年收取，或按协议价收取。	
C11008	银团贷款 管理费	我行作为银团贷款行按约定提供相应银团事务管理服务	服务受益客户	按不低于贷款额 0.25%的比例收取，或按协议价收取。	
C11009	银团资产转让(卖 出型)安排费	我行为银团资产转让业务提供安排服务	服务受益客户	按银团资产转让总额的 0.25%—2%收取，或按协议价收取。	
C11010	银团资产转让(卖 出型)管理费	我行为银团资产转让业务提供管理服务	服务受益客户	按银团资产转让总额的 0.25%—2%收取，或按协议价收取。	
C11011	项目推荐及管理	1、提供项目尽职调查服务 2、提供项目投后管理服务	服务受益客户	按协议价格收取	
C11012	银团贷款参加费	我行作为银团贷款参加行按约定提供相应的银团贷款服务	服务受益客户	按协议价格收取	

I Regulatory Free Service Items	
Item No.	No.1 Notice of the State Planning Commission and the People's Bank of China on the Establishment of Charge Standards for Electronic Transfers J.J.G. [2001] No.791
1	Exemption of electronic transfer fees for financial treasury, disaster relief, pensions, etc.
Item No.	No.2 Notice on Exemption of Funds for Issuance of Minimum Living Security Funds M.F. [2007] No.7
2	When local banks and postal savings institutions and other financial outlets are entrusted by the civil affairs department to issue social assistance funds such as minimum living security funds to people in special difficulties in urban and rural areas, their special passbook accounts for low-income insurance funds are all exempt from account opening fees, production costs, and small accounts Service fee, small account management fee, and exemption of commission and handling fee from the civil affairs department
3	People with special difficulties in urban and rural areas should be exempted from the inquiry fee when they use their special low-guarantee account passbook and low-guarantee (relief certificate) to inquire about transactions within one year at their account-opening financial institution. If the passbook is accidentally lost, it should be reissued according to the low guarantee (relief card) and the loss certificate issued by the local civil affairs department, and the loss reporting fee is waived. However, the number of free reissues of the passbook for the same subsistence allowance account shall not exceed twice in a year
Item No.	No.3 China Banking Regulatory Commission – Notice on the Exemption of Certain Service Charges for Banking Financial Institutions Y.J.F. [2011] No.22
4	Personal savings account opening and closing
5	Personal bank settlement account opening and cancellation
6	Personal account deposits, withdrawals and transfers at the bank counter in the same city (except for credit card accounts)
7	Personal account password modification and password reset
8	Domestic bank inquiry service at the personal account counter
9	Personal passbook (single) account opening cost, passbook (single) account cancellation cost, passbook (single) replacement cost
10	Annual fees and account management fees (including small-amount account management fees) for the signed payroll, subsistence allowance, medical treatment, retirement pension, unemployment insurance, and housing provident fund accounts
11	Inter-bank transfer fees, electronic transfer fees, mailing fees and telegram fees for donations to a special disaster relief account
12	Bank statement of personal account within 12 months (including) withdrawn electronically
13	Provide the bank's personal account statements for the current month in paper form (at least once a month), except for specific statements customized by some financial consumers
14	Provide personal account statements within 12 months (including) in paper form (at least once a year), except for specific statements

	customized by some financial consumers
Item No.	No.4 Supplementary Notice on Supporting Commercial Banks to Further Improve Financial Services for Small and Micro Enterprises Y.J.F. [2011] No.74
15	Except for syndicated loans, commercial banks shall not charge commitment fees and fund management fees for loans to small and micro enterprises, and strictly limit the collection of financial consulting fees and consulting fees on small and micro enterprises. (The so-called "small and micro enterprise loans" include loans issued by commercial banks to small enterprises and micro enterprises and personal business loans. The relevant enterprise classification standards are based on the Notice on Issuing the Regulations on the Classification Standards for Small and Medium-sized Enterprises (Ministry of Industry and Information Technology) 2011] No. 300) Regulation implementation
Item No.	No.5 Notice on Printing and Distributing the Government Pricing Catalogue of Government Guidance Prices for Commercial Bank Services F.G.J.G. [2014] No.268
16	Commercial banks that provide bank customers with SMS reminders of account changes and charge fees, should sign contracts with bank customers through branches or electronic channels in advance; if they do not sign contracts with bank customers, they are not allowed to charge
Item No.	No.6 Notice of the People's Bank of China on Matters concerning Credit Card Business Y.F. [2016] No.111
17	Cancel credit card late fees. For cardholders' breach of contract and overdue payments, the card issuer shall agree with the cardholder whether to charge liquidated damages, as well as relevant charging methods and standards. If a card issuer provides cardholders with a card service exceeding the credit limit, it shall not charge an over-limit fee. The card issuer shall not accrue interest on the liquidated damages and annual fees, cash withdrawal fees, currency exchange fees and other service fees charged to cardholders
Item No.	No.7 Notice of the People's Bank of China on Strengthening the Management of Payment and Settlement and Preventing New Types of Illegal Crimes in Telecommunications Networks Y.F. [2016] No.261
18	The bank does not charge any fees for deposits and withdrawals, transfers, etc. within the bank in other places
Item No.	No.8 Notice of the National Development and Reform Commission and the China Banking Regulatory Commission on the Cancellation and Suspension of Some Basic Financial Services Charges of Commercial Banks F.G.J.G.G. [2017] No.1250
19	Cancel the handling fee for individual cash withdrawal at the counter of our bank. All commercial banks carry out cash withdrawal services for individual customers of the bank through the counters (including ATMs) of the bank in different places, and implement free cash withdrawals (excluding credit card cash withdrawals)
20	Suspend the collection of 6 charges for promissory notes and bank drafts, including handling fees, loss reporting fees, and production costs

21	According to the customer's application, the designated bank account (excluding credit card and VIP account) is exempt from annual fees and account management fees (including small account management fees, the same below). Commercial banks should use their website and mobile APP, Business outlets' bulletin boards and other channels, and when handling business for customers, actively inform customers to remind customers to apply for designated free accounts. If customers do not apply, commercial banks should take the initiative to open their only accounts with the bank (excluding credit cards, VIP Account) annual fee and account management fee waived
Item No.	No.9 Notice of the China Banking and Insurance Regulatory Commission, Ministry of Industry and Information Technology, Development and Reform Commission, Ministry of Finance, People's Bank of the People's Bank of China, on Further Regulating Credit Financing Charges and Lowering the Comprehensive Financing Costs of Enterprises
22	Banks are not allowed to charge credit funds entrusted payment transfer fees. For credit funds that have been allocated but not used by the enterprise, they must not charge fund management fees. For small and micro enterprise credit financing, it is not allowed to stipulate early repayment or delay in the loan contract in the loan contract. Cancellation of the overdraft commitment fee and credit certificate fee for the legal person' s account
Item No.	No.10 Notice of the People's Bank of China, the Development and Reform Commission of the China Banking and Insurance Regulatory Commission and the State Administration for Market Regulation on Reducing the Payment Fees of Small and Micro Enterprises and Individual Industrial and Commercial Households F.G.J.G.G. [2017] No.1250
23	Commercial banks cancel the charge of check cost, loss report fee, and the handling fee, cost and loss report fee of cashier's check and bank draft
24	Commercial banks are encouraged to waive one account management fee (including small account management fee, excluding immovable account management fee, the same below) and annual fee, and exempt small and micro enterprises and individual industrial and commercial households from all unit settlement account management Fees and annual fees

II Special Free Service Items	
Item No.	No.1 Settlement Service
1	Corporate account fund transfer via counter service
2	Personal account inter-city cash withdraw via counter service
3	Commercial acceptance bill
4	Bank acceptance bill
Item No.	No.2 Card Service
5	Issuing Debit card / exchange new card / reissuing card fee
6	VIP customers ,platinum cards, diamond cards and co-branded cards are exempt from written loss reporting fees for debit card
7	Debit card domestic cash withdraw on other bank's ATM
8	Debit card foreign (including Hong Kong, Macao, Taiwan) cash withdraw via ATM
9	Debit card electronic cash top-up on other bank's ATM
10	Debit card inter-bank funds transfer
11	Debit card account annual management fee
12	Credit card annual fee
13	Reissuing Credit card bill fee
Item No.	No.3 Guarantee Service
14	Personal second-hand housing performance bond
15	Personal consumption performance bond
Item No.	No.4 Channel Service
16	Secure USBKEY cost
17	CFCA digital certificate fee
18	Annual Online banking service fee
19	Annual Mobile banking service fee
20	Electronic banking an inter-bank transfer fee

21	SMS notification service charge
22	Mobile token cost
Item No.	No.5 Account Management Service
23	Reporting loss of personal account passbook or deposit certificate
24	Re-issue of corporate account statement
25	Corporate account maintenance fee
26	Dormant corporate account maintenance fee
27	Corporate account information amendment
28	Corporate account opening
29	Corporate account closing
30	Personal account (low balance) maintenance fee
Comments: The above items will be exempted before the next adjustment of preferential policies. If there is any change, please refer to the latest announcement.	

Yunnan Hongta Bank Financial Services Fees –Government Pricing And Government Guidance Pricing

Item Code	Item Name	Service Description	Pay By	Fee Amount	Fee Setting Reference	Preferential Policy
B00001	Personal inter-bank fund transfer fee via counter (Government Guidance Pricing)	Personal fund transfer from own account (excluding credit card) to other banks (same city or inter-city)	Applicant	2K RMB and below (including 2K RMB), 2 RMB each; 2K–5K RMB (including 5K RMB), 5 RMB each; 5K–10K RMB (including 10K RMB), 10 RMB each; 10K–50K RMB (including 50K RMB), 15 RMB each; 50K RMB or above, 0.03% of the amount, maximum 50 RMB per transaction.	F.G.J.G. [2014] No.268	Fee is waived for eligible VIP customers, or platinum card, diamond, joint name card card holder free; Fee is waived for fiscal transfer of exchequer, disaster relief.
B00002	Corporate inter-bank fund transfer fee via counter (Government Guidance Pricing)	Corporate fund transfer from own account to other banks (same city or other city),this charge applies to debit service	Applicant	10K RMB and below (including 10K RMB), 5 RMB each; 10K–100K RMB (including 100K RMB), 10 RMB each; 100K–500K RMB (including 500K RMB), 15 RMB each; 500K–1M RMB (including 1M RMB), 20 RMB each; 1M RMB or above, 0.002% of the amount, maximum 200 RMB per transaction.	F.G.J.G. [2014] No.268; Y.F.[2021]No.169	Fee is waived for eligible VIP customers until next adjustment period; Fee is waived for fiscal transfer of exchequer, donation fund's transfer and disaster relief fund's transaction; 2 RMB per transaction for salary, pension, and etc. During the period from September 30,2021 to September 30,2024, for SMEs and individual business holders' corporate inter-bank fund transfer over the counter, 90% discount will be applied per transfer of less than(including) 100K RMB.

Yunnan Hongta Bank Financial Services Fees –Government Pricing And Government Guidance Pricing

Item Code	Item Name	Service Description	Pay By	Fee Amount	Fee Setting Reference	Preferential Policy
B00003	Personal cash inter-city depositing fee (Government Guidance Pricing)	1.Depositing into depositor's own account in our bank in another city 2.Depositing into other bank's account in the same region or other region	Applicant	Personal account customer making fund transfer to other banks via counter service (same city or other city)	F.G.J.G. [2014] No.268	Fee is waived for personal account inter-city deposit; Fee is waived for disaster relief
B00004	Check handling fee (Government Guidance Pricing)	Issuing check for personal or corporate accounts, including clearing service	Applicant	1 RMB per transaction;	F.G.J.G. [2014] No.268	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Settlement Service (over the counter)

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C01001	Issuing entrusted collection, collection acceptance	Provide entrusted collection, collection acceptance	Applicant	Handling fee: 1 RMB each Postage fee: per post office listing	
C01002	Personal account fund transfer via counter service	Personal account customer making inter-city fund transfer to other Hongta bank branch via counter service	Applicant	Free	
C01003	Corporate account fund transfer via counter service	Corporate account customer making inter-city fund transfer to other Hongta bank branch via counter service	Applicant	Handling fee: Below 10K RMB inclusive, 3 RMB each, 10K – 100K RMB inclusive, 5 RMB each, 100K – 500K RMB inclusive, 7 RMB each, 500K – 1M RMB inclusive, 10 RMB each, over 1M RMB, 0.001% of the amount, maximum 200 RMB each	Free until next fee adjustment
C01004	Entrusted collection, settling acceptance	Settling acceptance	Drawee	"Charges as B00001, B00002 for inter-bank transfer remittance; Charges as C01002, C01003 for within bank transfer remittance."	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Settlement Service (over the counter)

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C01005	Personal account inter-city cash deposit via counter service	Personal account inter-city cash deposit via counter service (exclude credit card)	Applicant	According to B00004, Free.	
C01006	Corporate and bank counter off-site universal deposit and withdrawal cash business	Personal account inter-city cash withdraw via counter service	Applicant	The total withdrawal on the day is over 50,000 RMB, the excess amount will be charged at 0.5‰–1.5‰	Free until next fee adjustment
C01007	Inter-city deposit collection	Inter-city deposit collection	Applicant	1% of the amount, minimum 1 RMB	
C01008	Inter-bank remittance inquiry via counter service	Processing customer query (Note: fee apply is payment arrives or payment did not arrive due to no fault of the bank)	Applicant	Handling fee: 0.50 RMB each Postage fee: 5.85 RMB each	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Settlement Service (over the counter)

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C01009	Payment system status query for personal account	Processing customer query	Applicant	Written inquiry fee 5 RMB each	
C01010	Voucher cost	Cost various voucher need by customer	Purchaser	1. Transfer payment receipt: 3.5 RMB / booklet 2. Cash payment receipt: 2.5 RMB / booklet 3. Collection voucher: 0.2 RMB / each 4. Consignment: 0.2 RMB / each 5. Commercial acceptance bill: Free 6. Bank acceptance bill: Free	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Card Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C02001	Issuing Debit card / exchange new card / reissuing card fee	First time issuing Debit card, or reissuing cards due to demagnetized, lost, and etc.	Cardholder	Magnetic stripe card: 5 RMB/card Chip and Magnetic card: 10 RMB/card Chip only card: 10 RMB/card	Free until next fee adjustment
C02002	Written report of debit card lost	Filing written report of lost debit card	Cardholder	10 RMB per report	Fee is waived for eligible VIP customers, or platinum card, diamond card, joint name card holder
C02003	Debit card domestic cash withdraw on other bank's ATM	Cash withdraw on other bank's ATM	Cardholder	3.5 RMB per transaction	No charge for the first 50 transactions per month until next fee adjustment
C02004	Debit card foreign (including Hong Kong, Macao, Taiwan) cash withdraw via ATM	Balance inquiry or cash withdraw on foreign (including Hong Kong, Macao, Taiwan) ATM	Cardholder	Balance inquiry: 2 RMB each Cash withdraw: 12 RMB each	No charge for the first 50 transactions per month until next fee adjustment (Fees for overseas are charged by overseas institutions)

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Card Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C02005	Debit card electronic cash top-up on other bank's ATM	Using electronic cash top-up service on other bank's ATM	Cardholder	Cash top-up: 0.9 RMB each Designated account top-up: 0.5 RMB each Non-designated account top-up: 0.9 RMB	No charge for the first 50 transactions per month until next fee adjustment
C02006	Debit card inter-bank funds transfer	Card holder issuing Inter-bank fund transfer on Hongta bank's ATM or other bank's ATM	Cardholder	20 RMB each	No charge for the first 50 transactions per month until next fee adjustment
C02007	Debit card account management fee	Providing cardholder with a variety and integrated financial services	Cardholder	Free for sole account; Or free for one appointed account, other account 10 RMB / annum	Free until next fee adjustment
C02008	Credit card annual fee	Credit card account management and service fee	Cardholder	Regular card: 50 RMB / annum Gold card: 100 RMB / annum	Free until next fee adjustment

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Card Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C02009	Replacement Credit card fee	Replacement Credit card due to demagnetized, chip error, or other reasons (excluding replacement of expiring cards, reported lost card)	Cardholder	20 RMB each	
C02010	Written report of Credit card lost and replacement fee	Reporting lost of Credit card (including replacement fee)	Cardholder	50 RMB each	
C02011	Credit card cash advance fee	Cash withdraw, transfer, top-up on any channel, and emergency cash withdraw via counter after reporting loss	Cardholder	1. Withdraw via Hongta ATM or Hongta bank service counter: 1% of the amount, minimum 3 RMB. 2. Withdraw via other bank's ATM: 1% of the amount, minimum 3 RMB, plus 2 RMB fixed handling fee. 3. Withdraw on overseas ATM: 1% of the amount, minimum 3 RMB, plus 20 RMB fixed handling fee.	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Card Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C02012	Withdraw Credit card over payment fee	Withdrawing over payment on Credit card via Hongta bank's counter or ATM, other bank's ATM, oversea ATM	Cardholder	Hongta bank's counter or ATM: free Other bank's ATM: 2 RMB each Oversea ATM: 20 RMB each	
C02013	Request review of Credit card's original sales receipt fee	Request review of Credit card's original sales receipt	Cardholder	10 RMB each	
C02014	Reissuing Credit card bill fee	Reissuing Credit card bill due to lost or other reasons	Cardholder	5 RMB each	Free until next fee adjustment
C02015	Credit card late payment fee	Late payment fee for card holder not paying the minimum amount of the last statement before the due date	cardholder	5% of the shortfall, minimum 5 RMB	
C02016	Credit card installment	Credit card installment and Credit card renewal	Cardholder	Charge no less than the benchmark loan rate	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Card Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C02017	Designated partner transaction fee	Fee standard for POS merchants with designated partner agreement	POS Designated Partner	Transaction fee (including Debit card and Credit card): Charge according to the negotiated price	From September 30,2021 to September 30,2024, 90% discount will be applied to standard merchant debit card (continue to implement preferential policies for merchants who have enjoyed preferential prices) , the capped value remain unchanged.; 78% discount will be applied to preferential merchant.

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Agency Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C03001	Agent for public utility fee collection	Acting on behalf of the client, using bank's network and system providing convenient service for customer in collecting water, electric, gas and other public utility fees.	The client	According to agreement with client	
C03002	Agent for administrative fee collection	Provide administrative fee collection via various channels	Administrative authority	According to agreement with administrative authority	
C03003	Agent for tax collection	Provide tax collection via various channels	Tax authority	According to agreement with client	
C03004	Agent for insurance products	Acting on behalf of the insurance company, providing insurance product sales and premium collection.	Insurance company	According to agreement with client	
C03005	Agent for precious metal sales	Acting on behalf of the client, provide consigned sales of precious metal.	The client	According to agreement with client	
C03006	Agent for issue, underwrite, settle of security	Acting on behalf of the security firm, providing issue, settlement and clearing of security service.	The client	According to agreement with client	
C03007	Early redemption of corporate bonds	Facilitate bondholders in application of early redemption	bondholders	According to agreement with client	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Agency Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C03008	Agent for fund sales	Acting on behalf of the security firm, providing fund sales service.	Fund company	According to agreement with fund company	
C03009	Agent for social security fund distribution	Acting on behalf of the social security authority, distributing funds.	Social security authority	According to agreement with client	
C03010	Bond distribution fee	Provide bond sales or distribution service for the issuer or lead underwriter(including underwriting and distribution service for products of National Association of Financial Market Institutional Investors, Stock Exchange and Beijing Financial Assets Exchange etc.)	The issuer/lead underwriters	According to the bond issuance announcement or contract signed with the counterparty	
C03011	Bond settlement fee	Acting on the behalf of investor, providing bond account opening, trading, clearing agents and other service	The client	Refer to the notice of payment provisions of the central Treasury securities registration and settlement companies and the contracts	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Agency Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C03012	Early redemption of state bond	Providing early redemption service for government bond sold from our bank, payment is advance by our bank.	Applicant	According to the ministry of finance national debt issuing documents provisions	
C03013	Agent for state bond sales and redemption	By request of the ministry of finance, providing sales and redemption service for state bond	Ministry of finance	According to the ministry of finance national debt issuing documents provisions	
C03014	Agent for reserve service	Acting on the behalf of the client, providing reserve service	Client	According to agreement with the client	
C03015	Agent for payment collection and distribution	Acting on the behalf of the client, providing payment collection and distribution service	Client	According to agreement with the client	
C03016	Agent for trust scheme service fee	Acting on the behalf of the trust, promoting the trust scheme and clearing service	Applicant	According to agreement with the applicant	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Agency Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C03017	Agent for issuing and accepting commercial bank acceptance bill	Agent for acceptance bill issuing, accepting and other clearing service	Applicant	0.02% , 0.05% the consigned amount, or charged according to agreement with the applicant	
C03018	Agent for query	Acting on behalf of the applicant, querying the issuing bank of a commercial draft by onsite visit or via payment system	Applicant	1. Query electrically: 30 RMB each 2. Onsite query: Negotiated 3. Credit status check for commercial draft or bank draft: Charged per PBOC standard	Discount apply if transaction amount exceeds 50M RMB
C03019	Agent for security firm asset collection and distribution	Providing collection and distribution service security firm.	Applicant	According to agreement with the applicant. Price guide: 0.5% – 1%	
C03020	Financial derivatives transaction fees	Providing registration and transaction service for derivatives.	Investor	Charged according to derivatives exchange standard	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Agency Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C03021	Agent for cashbox service	Provide financial trade customer with cashbox handling service	Client	<p>1. Cashbox handling service for financial trade customer, minimum 60K RMB for the first year for one cashbox per annum, minimum 120K RMB for the first year for two cashboxes per annum, maximum is set by negotiation, fee increase by 10% on every subsequent year with in the contract term.</p> <p>2. Cash handling service for financial trade customer, minimum 100K RMB for the first year, fee increase by 10 on every subsequent year with in the contract ter.</p> <p>3. Fees above do not include bonded transfer; separate fee apply if it is required.</p>	<p>Fee may be reduced if client held deposit at our bank; if daily deposit balance is above 8M RMB (inclusive), fee reduce by 20%; if daily deposit balance is between 8M RMB and 15M RMB (inclusive), fee reduce by 30%; if daily deposit balance is above 15M, fee is agree by negotiation</p>

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Agency Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C03022	RMB wealth management sales	Providing wealth management products to customer and private banking customer only products. Providing separate wealth management account, asset consignment service and full authority asset consignment service.	Beneficiary	Fee charged according to agreement or product specification	
C03023	Brokering third party financial institution wealth management product	Distribution of various wealth management product from fund or investment management company via bank counter, online banking and other channels; providing subscription, purchase application, redemption, transfer, trailing commission, ongoing sales promotion, and other services.	Beneficiary	The price charged according to the agreement	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Guarantee Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C04001	Personal second-hand housing performance bond	Issuing performance bond for second-hand housing transaction	Applicant	1. For shortfall between the deposit paid and the performance bond's amount, fee charged as following: a) below 100K RMB (inclusive): 200 RMB each b) 100K RMB – 300K RMB (inclusive): 600 RMB each c) 300K RMB – 500 RMB (inclusive): 1000 RMB each d) 500K RMB and above: 0.2% – 0.5% of the amount, maximum 10K RMB 2. Fee is waived if full deposit is paid.	Free until next fee adjustment
C04002	Personal consumption performance bond	Issuing performance bond for personal consumption contract	Applicant	0.2% – 0.5% of the amount, minimum 200 RMB	Free until next fee adjustment
C04003	Financing letter of guarantee	Acting as guarantor, on behalf of the applicant, provides beneficiary in writing that Hongta bank guarantees in the event that applicant failed to perform per contracted agreement, Hongta bank will perform according to the guarantee.	Applicant	0.5% – 1% of the amount per quarter, minimum 500 RMB. Fee is collected each quarter. If full deposit is paid, fee only apply once.	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Guarantee Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C04004	Non-financing letter of guarantee	Acting as guarantor, on behalf of the applicant, provide beneficiary in writing that Hongta bank guarantees in the event that applicant failed to perform per contracted agreement, Hongta bank will perform according to the guarantee.	Applicant	0.1% – 0.5% of the amount per quarter, minimum 300 RMB. Fee is collected each quarter. If full deposit is paid, fee only apply once.	
C04005	Letter of guarantee amendment	Modify the term of the letter of guarantee for Hongta bank to provide guarantee per agreement.	Applicant	200 RMB each, if amendment changes the amount or other factors leading to increases Hongta bank's risk exposure, charge as a new application.	
C04006	Letter of guarantee extension	Letter of guarantee valid date extension for Hongta bank continue to provide guarantee per agreement.	Applicant	Charge as an new equivalent application.	
C04007	Bank acceptance bill	Issuing bank acceptance bill	Applicant	0.05% of the face amount	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Guarantee Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C04008	Domestic letter of credit	Domestic L/C including: issuing, acceptance, settling, notify, dispatching	The business applicant	<ol style="list-style-type: none"> 1. Issuing: 0.15% of the amount, minimum 200 RMB; for validity over 3 months, extra 0.05% per 3 months, extra fee is waived if full guarantee deposit is paid. Plus cable fee. 2. Amendment: 100 RMB; additional 0.15% of the increased L/C amount, minimum 100 RMB if applicable. Plus cable fee. 3. Late payment confirmation: 0.1% of the amount, fee is waived if full guarantee deposit is paid. Plus cable fee. 4. Discrepancy: 100 RMB per contest, maximum 500 RMB. Plus cable fee. 5. Return: 100 RMB. Plus actual incurred postage fee and cable fee. 6. Notify and notify amendment: 100 RMB each 7. Verify / dispatching: 0.1% of the requested amount, minimum 200 RMB. Plus actual incurred postage fee. Add cable fee is applicable 8. Amendment: 100 RMB; additional 0.1% of the increased L/C amount, minimum 100 RMB if applicable. Plus cable fee. 9. Reminder, release, cancel: 100 RMB plus actual incurred postage fee and cable fee is applicable 	
C04009	Forfaiting under domestic L/C	Forfaiting business related business plan consultation, inquiry, document processing, message sending and receiving, quota arrangement, non–recourse debt buyout and sale arrangement and other services	The business applicant	Negotiate pricing with the customer, the rate shall not exceed 3% of the Forfaiting amount/year, and the minimum rate shall be RMB 300 / transaction	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Promissory Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C05001	Loan commitment	Providing legally binding loan commitment to applicant, promise to provide loan support within the agreement period.	Applicant	0.3% – 0.5% per annum of the daily unused balance. Fee may be collected once or multiple times per year. Or per Negotiated arrangement.	Fee is waived for small and micro enterprises that conform to the ministry, the national bureau of statistics, the national development and reform commission, ministry of finance on the row type standard notice issued by the small and medium-sized enterprises (ministry of united enterprises [2011] 300) regulation
C05002	Corporate account overdraft commitment	Providing overdraft facility to applicant, during the agreement period, enable applicant to overdraw up-to agreed limit.	Applicant	0.3% of the overdraft limit amount per annum. Fee is collected one a year. Or per Negotiated arrangement.	
C05003	Commitment to credit	Providing credit commitment to applicant, applicant may at any time, under agreed terms, draw on credit up-to agreed limit.	Applicant	Fee is calculated by unused credit * fee ratio. Customer credit rating in AA+ or above (including) : with collateral 0.05%, with guarantee 0.1%, with credit 0.15%; fee (unused credit * fee ratio) is collected once a year, minimum 0.03%. AA: with collateral 0.15%, with guarantee 0.2%, with credit 0.25%; fee (unused credit * fee ratio) is collected once a year, minimum 0.05%. AA-: with collateral 0.25%, with guarantee 0.3%, with credit 0.35%; fee (unused credit * fee ratio) is collected once a year, minimum 0.1%.	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Promissory Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C05004	Revolving loan commitment	Providing revolving loan commitment to applicant. Under agreed terms within the agreement period, applicant use revolving loan facility up-to agreed limit.	Applicant	0.1% – 0.5% per annum of the daily unused balance. Fee may be collected once or multiple times per year. Or per Negotiated arrangement.	Fee is waived for small and micro enterprises that conform to the ministry, the national bureau of statistics, the national development and reform commission, ministry of finance on the row type standard notice issued by the small and medium-sized enterprises (ministry of united enterprises [2011] 300) regulation
C05005	Credit certificate	Issuing credit certificate for applicant to facilitate project tendering process, which certify the amount of credit applicant may have access under certain terms once successful for the bid.	Applicant	0.005%–0.01% of the amount, minimum 500 RMB each, or Negotiated arrangement.	
C05006	Issue a letter of intent for loans/ commitment	Issuing letter of intent for loan or commitment to facilitate project application process, which confirms to the relevant state authorities that our bank's intention to lend.	Applicant	0.005%–0.01% of the amount, minimum 500 RMB each, or per Negotiated arrangement. Additional copy of the same content 100 RMB each.	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Promissory Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C05007	Domestic factoring	Seller transfers future receivables generated from sales or service rendered to Hongta bank. Hongta bank provides trade financing, management, accounts receivable collection, credit risk management and bad debt guarantee service.	Applicant	<ol style="list-style-type: none"> 1. Domestic seller factoring: based on the transferring receivable amount: within 3 months inclusive without recourse: 0.5%–4%; with recourse: 0.1% – 3%. If payment is overdue, add 0.1% – 0.3% per 3 months, if shorter than 3 months charge as 3 months. 2. Domestic buyer factoring: based on financing amount and credit risk: with 3 months (inclusive) 0.5% – 4%, add 0.1% – 0.3% every 3 months, if shorter than 3 months charge as 3 months; if insurance is used add 0.2%, dark factoring add 0.2%. 3. Factoring document processing fee: 100 RMB per receipt. 4. Buy credit risk commitment: 0.25% – 1% 5. Special account management and collection: 0.3% – 1% of the receivable amount 6. Counter party credit check: 2K RMB – 10K RMB per purchaser 7. Maturity factoring arrangement and service: 1% – 3% of the receivable Amount 	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Promissory Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C05008	Early repayment	Partial or full early repayment	Applicant	Fee charged according to the agreement.	Fee is waived for small and micro enterprises that conform to the ministry, the national bureau of statistics, the national development and reform commission, ministry of finance on the row type standard notice issued by the small and medium-sized enterprises (ministry of united enterprises [2011] 300) regulation

Yunnan Hongta Bank Financial Services Fees–Market Adjusted Pricing–Consignment Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C06001	Entrusted loans	Provide entrusted loan service based on Negotiated agreement	The principal	1. Corporate entrusted loan: Based on contract amount using the following scale, minimum 1000 RMB per loan: a. Below 10M RMB inclusive: 0.2% – 3%; b. 10M RMB – 100M RMB inclusive: 0.15% – 1%; c. Above 100M RMB: 0.03% – 0.2%; 2. Personal entrusted loan: Based on contract amount using the following scale, minimum 500 RMB per loan: a. Below 1M RMB inclusive: 0.2% – 3%; b. 1M RMB – 10M RMB inclusive: 0.15% – 1.5%; c. Above 10M RMB: 0.05% – 0.1%;	
C06002	Entrusted loans transfer fees	Entrust loan transfer related service	The principal	0.1% of transfer principle amount	
C06003	Entrusted loans inherited fees	Processing relevant formalities for entrusted loans as bequest or inheritance.	Heir/ legacy	0.1% of the inherit or bequest amount	
C06004	Asset management and management fees (equity funds, etc.)	Providing funds management, clearing, account settlement, valuation, supervised investment management, and etc.	Investors/ client	Based on negotiation between the bank and investors /client	
C06005	Application hosting	Providing computing resource and operating environment, including complete business application hosting	The principal	Based on negotiation between the bank and investors /client	

Yunnan Hongta Bank Financial Services Fees–Market Adjusted Pricing–Advisory Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C07001	Financial advisory service	<p>1. Providing customer with comprehensive service for large construction projects, merger and acquisitions, improvement for return on assets, improvement for financial structure and related matter. Including profession planning for financing structure, financing arrangement, financial analysis; working with customer on planning and executing company structure design, recapitalization, and reorganization. Further including whole process design and related financial service, such as negotiation, service planning, contract signing, finding financing channels and settlement services.</p> <p>2. Providing financial institution with services such as investment funds, asset management, financial cooperation, and etc. Including financial product design, information disclosure, settlement service and etc. Other fee based service including fund supervision, advisory service, agency service and etc.</p>	Beneficiary	Formulated based on the similar fee charged by other banks and market factors; Y.J.F [2011] No.94	
C07002	Asset management advisory service	Providing comprehensive asset management service for individual and corporate investor, including portfolio advice, investment analysis, tax service, market information, risk management and etc.	Beneficiary	Formulated based on the similar fee charged by other banks and market factors; Y.J.F [2011] No.94	
C07003	Enterprise financial consultant	Utilizing internal talents, market insight and IT advantage, we provide continual and paid financial advisory service, include specialized advisory (financial health analysis, financial product advisory) and research based advisory (providing macro economy, financial market, industry development and corporate management research on scheduled and non–scheduled basis)	Beneficiary	Formulated based on the similar fee charged by other banks and market factors; Y.J.F [2011] No.94	

Yunnan Hongta Bank Financial Services Fees–Market Adjusted Pricing–Advisory Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C07004	Investment and financing advisory	Providing all around investment and financing advisory service for corporate and personal customers, including investment planning and solution design, investment product risk and benefit analysis, investment maturity matching, financing planning and solution design, financing product selection, financing cost, term structure management, rating enhancement and repayment planning, etc.	Beneficiary	Formulated based on the similar fee charged by other banks and market factors; Y.J.F [2011] No.94	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Channel Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C08001	Secure USBKEY cost	Secure storage device for holding and accessing online banking digital certificate	Online bank user	30 RMB each	Free until next fee adjustment
C08002	CFCA digital certificate fee	Digital certificate from CFCA	Online bank user	1. Corporate account: 160 RMB / annum 2. Personal account: 30 RMB / annum	Free until next fee adjustment
C08003	Online banking service fee	Providing online banking service	Online bank user	10 RMB / annum / account	Free until next fee adjustment
C08004	Mobile banking service fee	Providing mobile banking service	Mobile banking user	10 RMB / annum / account	Free until next fee adjustment
C08005	Electronic banking an inter-bank transfer fee	Using online banking, mobile banking and other digital channel to perform inter-bank fund transfer	User	1. Corporate account: 20% off standard pricing 2. Personal account: 50% off standard pricing	Free until next fee adjustment
C08006	SMS notification service charge	Providing account activity update and product information update via SMS	Applicant	1. Personal account (exclude credit card): 1 RMB / month / mobile number 2. Corporate account: 5 RMB / month / mobile number	Free until next fee adjustment
C08007	Mobile token cost	Provide the security authentication method of mobile bank transfer authorization for users of online banking system	User	20RMB / account	Free until next fee adjustment

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Account Management Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C09001	Reporting loss of corporate seal	Reporting loss of corporate seal and amendment service	Applicant	50 RMB each	
C09002	Reporting loss of corporate deposit certificate in writing	Reporting loss of corporate deposit certificate in writing	Applicant	50 RMB each	
C09003	Reporting loss of personal account passbook or deposit certificate	Reporting loss of personal account passbook or deposit certificate service	Applicant	10 RMB each	Fee is waived for eligible VIP customers, or platinum card, diamond card holder free
C09004	Replace reference seal	Amending reference seal	Applicant	10 RMB per request	
C09005	Proof of personal account balance	Provide the certificate of personal credit and personal product according to customer application	Applicant	20 RMB each	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Account Management Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C09006	Credit inquiry fee	1.Issue the written certificate of settlement business according to the client's entrustment 2.In the process of auditing according to law, CPA will issue confirmation letters to the Banks in the name of the audited enterprises to verify whether the bank deposits, loans and current payments of the enterprises are true, legal and complete."	Applicant	1.Proof of corporate account balance:200 RMB per request 2. Confirmation Letter : 200 RMB per request	The service charge of confirmation letter is free for small and micro enterprises which meet the requirement of Notice on Printing and Distributing the Standards and Regulations for SMEs (G.X.B.L.Q.Y. [2011] No.300) by Ministry of Industry and Information Technology, National Bureau of Statistics, National Development and Reform Commission, Ministry of Finance
C09007	Re-issue of corporate account statement	Re-issue of corporate account statement	Applicant	10 RMB per copy for current year,20 RMB per copy for previous years	Free until next fee adjustment
C09008	Corporate account maintenance fee	Corporate account maintenance fee	Applicant	45 RMB / quarter if deposit daily average is below 10K RMB exclusive.	Free until next fee adjustment

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Account Management Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C09009	Dormant corporate account maintenance fee	Switching active corporate account to dormant corporate account fee (Dormant account: accounts without any transaction for a year (inclusive))	Applicant	When brought to account to the fixed one-time charge 50 RMB	Free until next fee adjustment
C09010	Corporate account information amendment	Amending account information	Applicant	10 RMB per visit	Free until next fee adjustment
C09011	Corporate account opening	Account opening service for settlement, capital verification, increase register fund	Applicant	50 RMB if opening balance is less than 100K RMB (exclusive).	Free until next fee adjustment
C09012	Corporate account closing	Closing corporate account	Applicant	50 RMB / account	Free until next fee adjustment

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Account Management Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C09013	Personal account (low balance) maintenance fee	Maintenance fee for personal account with low balance	Applicant	1. For deposit account average daily balance is less than 500 RMB (excluding credit card): 2 RMB / account / quarter; 2. Fee is waived for designated payroll account, retirement account, low income social service account, medical insurance account, unemployment insurance account, social housing account. 3. Each customer can apply to designated a fee free account (excluding credit card account, VIP account) 4. Customer without designated fee free account, one may be chosen by the bank (excluding credit card account, VIP account)	Free until next fee adjustment

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Foreign Exchange Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C10001	Inward Remittance Fee	Fund return fee	Applicant	1. Corporate account returning fee: 0.1% of the amount, minimum 100 RMB and maximum 1000 RMB or equivalent per transaction. Fee is deducted from returning amount if payment is rejected by beneficiary or beneficiary is not found. Plus cable fee. 2. Personal account returning fee: 0.1% of the amount, minimum 20 RMB and maximum 300 RMB or equivalent per transaction. Fee is deducted from returning amount if payment is rejected by beneficiary or beneficiary is not found. Plus cable fee.	
C10002	Outward Remittance Fee	Domestic or overseas foreign currency remittance fee	Applicant	1. Corporate account: 0.1% of the amount, for overseas or inter-city transfer, minimum 100 RMB and maximum 1000 RMB or equivalent per transaction; intra-city transfers minimum 20 RMB and maximum 200 RMB or equivalent per transaction. Plus cable fee. 2. Personal account: 0.1% of the amount, minimum 50 RMB or equivalent and maximum 260 RMB or equivalent per transaction. Plus cable fee.	
C10003	Collection (export)	Collection service dispatching, amendment, release, reminder and returning order	Applicant	1. Clean collection: 0.1% of the amount, minimum 50 RMB and maximum 1000 RMB or equivalent per transaction. Plus postage as per actual cost. 2. Documentary bill for collection: 0.1% of the amount, minimum 100 RMB and maximum 2000 RMB or equivalent per transaction. Plus postage as per actual cost. 3. Amendment: 100 RMB or equivalent each. Plus postage as per actual cost. 4. Release / Reminder: 100 RMB or equivalent each. Plus cable fee 5. Returning: 100 RMB or equivalent, plus actual incurred overseas charges and cable fee.	
C10004	Collection (import)	Collection service import collection, amendment, and returning order	Applicant	1. Documentary bill for collection: 0.1% of the amount, minimum 100 RMB and maximum 3000 RMB or equivalent per transaction. 2. Amendment, returning order: 200 RMB or equivalent per transaction. 50 USD if collecting from overseas bank. 30 USD per transaction for overseas bank cable fee if applicable. Plus postage as per actual cost.	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Foreign Exchange Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C10005	Letter of credit (export)	L/C service for exporting including: notify, notify amendment, dispatching, amendment, cancelling	Applicant	<ol style="list-style-type: none"> 1. Notify: 200 RMB or equivalent each 2. Notify amendment: 100 RMB or equivalent each 3. Cancel/withdraw: 100 RMB or equivalent each. Plus cable fee 4. Negotiation/verification: 0.125% of the amount, minimum 300 RMB or equivalent. Plus actual incurred postage fee 5. Amendment: 100 RMB or equivalent, 0.125% of increased amount if applicable. Plus actual incurred postage fee and cable fee. 	
C10006	Letter of credit (import)	L/C service for importing including: Issuing, amendment, transferring, settling, return, and withdraw	Applicant	<ol style="list-style-type: none"> 1. Issuing: 0.15% of the amount, minimum 200 RMB or equivalent; for validity over 3 months, extra 0.05% per 3 months, extra fee is waived if full guarantee deposit is paid. Plus cable fee. 2. Amendment: 100 RMB or equivalent each (if amount or expire date is modified, new issuing fee apply). Plus cable fee. 3. Transfer: 0.1% of the amount, minimum 300 RMB or equivalent. Plus cable fee 4. Acceptance: 0.1% the acceptance amount, minimum 200 RMB or equivalent. Plus cable fee. Payable by beneficiary 5. Discrepancy: 50 USD or equivalent per contest, maximum 100 USD. Plus cable fee. 6. Document processing for Transfer L/C fee: 30 USD or equivalent deducted from transfer amount 7. Return: 200 RMB or equivalent. Plus actual incurred postage fee and cable fee. 8. Settlement: 50 USD or equivalent per transaction. Plus cable fee 9. Settlement of transfer L/C: 20 USD or equivalent per transaction deducted from transfer amount. Plus cable fee 10. Cancellation of L/C: 100 RMB or equivalent. Plus cable fee. 	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Foreign Exchange Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C10007	Letter of guarantee	Issuing letter of guarantee, including issuing, amendment, settling, inquiry services	Applicant	1. Issuing non-financing letter of guarantee: 0.1% – 0.5%/quarter of the specified amount, minimum 300 RMB or equivalent. Plus cable fee. 2. Issuing financing letter of guarantee: 0.5%–1%/quarter of the specified amount, minimum 500 RMB or equivalent. Plus cable fee. 3. Letter of guarantee amendment: 200 RMB or equivalent each. If amount or date is modified, charge as new issuing. Plus cable fee. 4. Letter of guarantee withdraws: 160 RMB or equivalent each 5. Letter of guarantee settlement: equivalent to 50 USD or equivalent each, wiring fee 30 USD or equivalent, both deduct from beneficiary 6. inquiry: 100 RMB or equivalent each, plus cable fee	
C10008	Cable fee	SWIFT cable fee	Applicant	1. Mainland China: 20 RMB or equivalent each 2. Hong Kong, Macao, Taiwan: 80 RMB or equivalent each 3. Oversea: 160 RMB or equivalent each	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Other Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C11001	Electronic commercial bill fee	Comprehensive electronic commercial bill services	Applicant	Negotiated	
C11002	Domestic trade financing solution	Provide domestic trade financing solution and fund management	Applicant	Negotiated	
C11003	Internet order collection	Merchants through QR code payment, bank card online payment, corporate account payment and other ways to achieve receipt	Applicant	Negotiated	
C11004	Syndicated loan advisory	Providing advisory service for syndicated loans during preparation and financing stages.	Beneficiary	Minimum 0.1% – 0.5% of the loan amount, or charge per Negotiated agreement.	
C11005	Lead syndicated loan facility and underwriting fees	As lead syndicated loan lender, providing loan arrangement and syndicate arrangement service.	Beneficiary	One time charge, minimum 0.25% of the loan amount, or charge per Negotiated agreement.	
C11006	Entrusted syndicated loan fees	As entrusted syndicated loan lender, providing loan management and coordination service.	Beneficiary	Charge annually based on the work rendered	

Yunnan Hongta Bank Financial Services Fees – Market Adjusted Pricing – Other Service

Item Code	Item Name	Service Description	Pay By	Fee Amount	Preferential Policy
C11007	Syndicated loan commitment fee	As syndicated loan lender, providing loan or credit commitment service	Beneficiary	Minimum 0.2% of the loan amount per annum, or charge per Negotiated agreement.	
C11008	Syndicated loan management fee	As syndicated loan lender, providing relevant syndicated loan management service	Beneficiary	0.25% of the loan amount, or charge per Negotiated agreement.	
C11009	Syndicated assets transfer (sale) arrangement fee	Provide syndicated asset transfer arrangement service	Beneficiary	0.25 – 2% of the syndicated assets transfer amount, or charge per Negotiated agreement.	
C11010	Syndicated assets transfer (sale) management fees	Provide syndicated asset transfer management service	Beneficiary	0.25 – 2% of the syndicated assets transfer amount, or charge per Negotiated agreement.	
C11011	Project recommendation and management	1. Provide project due diligence services 2. Provide ongoing project management services	Beneficiary	Charge per Negotiated agreement	
C11012	Participation fee of syndicated loan	As participating lender of syndicated loan, providing syndicated loan service	Beneficiary	Charge per Negotiated agreement	